



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Foster et al.  
Serial No.: 09/901,933  
Filed: July 9, 2001  
For: HYDROXY-AMINO THERMALLY CURED UNDERCOAT  
FOR 193 NM LITHOGRAPHY  
Art Unit: 1752  
Examiner: Yvette C. Thornton  
Confirmation No.: 8565  
Customer No.: 27,623  
Attorney Docket No.: 335.6214USV

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed concurrently herewith. This Information Disclosure Statement is being filed:

- Within three (3) months of the filing date of the national application;
- Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
- Before the mailing date of a first Office Action on the merits;
- After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

- After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p); and
- After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to or subsequent to the payment of the Issue Fee and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p).

X Filing with RCE Under 37 CFR 1.114.

Also enclosed are copies of U.S. Patent Nos. 6,410,209 B1 and 6,602,652,B2.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



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November 26, 2003

<p style="text-align: center;"><b>O P E R A T I O N INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b></p> <p style="text-align: center;">NOV 26 2003 (Use several sheets if necessary)</p>				Docket Number (Optional) <b>335.6214USV</b>		Application Number <b>09/301,933</b>	
				Applicant <b>Foster et al.</b>			
				Filing Date <b>July 9, 2001</b>		Group Art Unit <b>1752</b>	
				<b>U. S. PATENT DOCUMENTS</b>			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,410,209 B1	6/25/02	Adams et al.	430	311		
	6,602,652 B2	8/5/03	Adams et al.	430	311		
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
<b>OTHER DOCUMENTS</b> (including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
<p>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.</p>							